Adaptive Resonance Theory

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One of the main challenges of batch-learning models, i.e., MLP and RBF, is to overcome the **stability-plasticity** dilemma. Stability-plasticity means:

• The model should be **stable** enough to remember previous learned samples, and

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• **Plastic** enough to absorb (learn) new input(s).



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Introduction

To solve the problem of **stability-plasticity** dilemma, **online ANNs** that are able to learn incrementally have been proposed, e.g. Adaptive Resonance Theory (ART)and Fuzzy Min-Max(FMM) networks.

- The training samples are presented **one-by-one** for learning,
- Able to learn only new input samples, instead of re-learning all previously learned samples,
- Able to learn new knowledge without **disturbing or forgetting** existing knowledge, and
- Able to predict the label (target) of a new input sample during learning.

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Adaptive Resonance Theory

- Adaptive Resonance Theory (ART) is known as a human cognitive information processing theory which has led to evolve many online neural network models.
- Proposed by Gail Carpenter and Stephen Grossberg (Boston University) in 1980s.
- ART models incorporate new data by measuring the similarity level between the existing prototype nodes and a new input sample against a threshold, i.e.,the vigilance test. If the vigilance test is not satisfied, a new prototype node can be added to learn the new input sample.

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Adaptive Resonance Theory

Some models of ART:

ART1 binary input, unsupervised

ART2 continuous input, unsupervised Fuzzy ART continuous input, unsupervised ARTMAP binary input, supervised Fuzzy ARTMAP continuous input, supervised

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- Among different models of ART, Fuzzy ART and Fuzzy ARTMAP(FAM)are two popular unsupervised and supervised models,
- Both models merge the capability of ART in solving the stability-plasticity dilemma with the capability of fuzzy set theory in handling vague and imprecise human linguistic information.

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Fuzy ART consists of three layers:

- f₀ is pre-processing layer,
- f₁ is the input layer, and
- f₂ is is the recognition layer.



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Fuzzy ART

The pre-processing layer performs complement coding in order to avoid the problem of category proliferation, as follows:

$$A = (a_1, ..., a_m, 1 - a_1, ..., 1 - a_m)$$
(1)

Then, it receives the complement-coded of input sample (A) to determine the similarity level between current input A and the *j*-th prototype node in f_2 , as follows:

$$T_j = \frac{|\mathbf{A} \wedge \mathbf{W}_j|}{\alpha + |\mathbf{W}_j|} \tag{2}$$

where $\alpha > 0$ is the learning parameter, $W_j \equiv (w_{j,1}, ..., w_{j,2M})$ is the weight vector of the *j*-th prototype node in f_2 , and \wedge indicates the fuzzy *and* operator:

$$(u \land v)_i \equiv \min(u_i, v_i) \leftarrow u \land d \models v \in \mathbb{R} \land d \models v \in \mathbb{R} \land d \models v \in \mathbb{R}$$

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Fuzzy ART

The prototype node with the highest choice score is chosen as the winning node, denoted as node J, as follows:

$$T_J = max(T_j : j = 1, 2, ..., N)$$
 (4)

If node *J* satisfies the vigilance criterion, resonance is said to occur.

$$\frac{|\boldsymbol{A} \wedge \boldsymbol{W}_J|}{|\boldsymbol{A}|} > \rho \tag{5}$$

where ρ is the vigilance parameter of Fuzzy ART. However, if the condition in Eq. (5) is not satisfied, a mismatch occurs, whereby the selected node *J* is deactivated, and a new search cycle is triggered in Fuzzy ART to select a new winning node.

Fuzzy ART Fuzzy ARTMAP



This search cycle repeats until one of the existing prototype nodes satisfies the condition in Eq. (5), or a new prototype node is created in f_2 to encode the current input sample. Then, learning take place in order to update *J*-th weight vector (W_J) in f_2 as follows:

$$W_J^{(new)} = \beta (A \wedge W_J^{(old)}) + (1 - \beta) W_J^{(old)}$$
(6)

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where β is learning rate of Fuzzy ART.

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Steps of Fuzzy ART:

For each training sample do

- Do complement coding (Eq. 1).
- ② Compute the choice value of all prototype nodes (Eq. 2).
- Find the winner prototype node (Eq. 4).
- Perform vigilance test (Eq. 5).
- If the vigilance test is not satisfied, deactivate the winner prototype node,go to step 2 (select other prototype node or add new one).

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Figure 1: The structure of FAM.

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Fuzzy ART Fuzzy ARTMAP



- Consists of two Fuzzy ART models, i.e., *ART_a* and *ART_b*, and a map field.
- *ART_a* and *ART_b* receive the complement-coded input sample (A) and its corresponding target class (B), respectively.
- Map field is used to map input samples into their corresponding outputs.

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When the winning nodes in both ART_a and ART_b are selected, the map-field vigilance test is applied as follows:

$$\frac{|\mathbf{y}^{b} \wedge \mathbf{W}_{J}^{ab}|}{|\mathbf{y}^{b}|} > \rho_{ab} \tag{7}$$

where W_J^{ab} is the weight vector from f_2^a to f^{ab} , ρ_{ab} is the map-field vigilance parameter, and y^b indicates the output vector of f_2^b , which is defined as follows:

$$y^{b} = \begin{cases} 1, & k = K \\ 0, & otherwise \end{cases}$$
(8)

where K is the winning ART_b prototype node.

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If condition in Eq. (7) fails, it means the current *J*-th winning node in f_2^a makes an incorrect predicted class in ART_b . To correct this erroneous prediction, a match-tracking procedure is triggered to update the vigilance parameter of ART_a , as follows:

$$\rho_{a} = \frac{|\mathbf{A} \wedge \mathbf{W}_{J}^{a}|}{|\mathbf{A}|} + \delta \tag{9}$$

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where $\delta > 0$. Then, a new search cycle with the updated ρ_a setting ensues. This process ends once the map-field vigilance test is satisfied.

Fuzzy ART Fuzzy ARTMAP



As such, learning takes place in which the *J*-th node in f_2^a is updated to:

$$W_J^{a(new)} = \beta_a(A \wedge W_J^{a(old)}) + (1 - \beta_a)W_J^{a(old)}$$
(10)

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where β_a is learning rate of ART_a .

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Algorithm 1 The learning phase of FAM
Input: Parameters of FAM and training samples
Output: Parameters of trained FAM
1: for each training sample $(a_1,, a_m)$ do
2: Complement-coding (Eq.1).
 Calculate the choice function for all prototype nodes (W^a) (Eq. 2).
 Select the winning node using Eq. 3 (J-th node in W^a).
 Perform the vigilance test (Eq. 4) for the winning node.
 while the vigilance test is not satisfied do
7: Deactivate the winning node $(T_j = 0)$.
 if all prototype nodes in W^a are deactivated then
9: Add new node.
10: end if
11: Go to Step 3.
12: (The same cycle occurs for the target vectors to identify the winning prototype
node $(K-th)$, simultaneously).
 Perform the map-filed vigilance test (Eq. 7).
 while the condition in Eq. 7 is not satisfied do
 Perform match-tracking (Eq. 9).
 Deactivate the winning node (<i>J</i>-th) in W^a.
17: Go to Step 3.
 Update the winning node using Eq. 10.
19: end for

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Numerical Example

Suppose in a tw0-class problem, FAM receives a sequence of input-output patterns, as follows:

$$a_1 = [0.1, 0.2]$$
 belongs to $b_1 = c_1 = [1]$

 $a_3 = [0.6, 0.7]$ belongs to $b_3 = c_2 = [0]$

The network parameters are set to their basic values: $\alpha_a=0$, $\rho_a=0$ and $\beta_a=1$. Suppose there are two uncommitted nodes in F_2^a : $W_1^a = W_2^a=[1, 1, 1, 1]$ and $W_1^{ab} = W_2^{ab}=[1, 1]$.

Numerical Example

Step 1: the complement-codded input vectors are as follows: $A_1=[0.1, 0.2, 0.9, 0.8]$ belongs to $b_1=c_1=[1, 0]$ $A_2=[0.8, 0.4, 0.2, 0.6]$ belongs to $b_2=c_2=[0, 1]$ $A_3=[0.6, 0.7, 0.4, 0.3]$ belongs to $b_3=c_2=[0, 1]$

Numerical Example

Input A_1 : Propagate A_1 to f_2^a . Since there is no previous learning, node J=1 is selected as winner.

$$\frac{|A_1 \wedge W_1|}{\alpha + |A_1|} = \frac{|[0.1, 0.2, 0.9, 0.8]| \wedge [1, 1, 1, 1]|}{0 + |2|} = 1 \ge \rho_a(\textit{Passed})$$

Map field activity: Since C_1 is the target class, $y^b = [1, 0]$. Map field vigilance test:

$$\frac{|y^b \wedge w_1^{ab}|}{|y^b|} = \frac{|[1,0]| \wedge [1,1]|}{|[0,1]|} = 1 \ge \rho_{ab}(\textit{Passed})$$

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Numerical Example

Learning:

$$W_1^{a(new)} = \beta_a(A \wedge W_1^{a(old)}) + (1 - \beta_a)W_1^{a(old)}$$

$$= 1 \times [0.1, 0.2, 0.9, 0.8] \wedge [1, 1, 1, 1] + 0 = [0.1, 0.2, 0.9, 0.8]$$

Input A_2 : Propagate A_2 to f_2^a . Compute choice value:

$$T_{1} = \frac{|A_{2} \wedge W_{1}^{a}|}{\alpha + |W_{1}^{a}|} = \frac{1.1}{2} (Winner)$$
$$T_{2} = \frac{|A_{2} \wedge W_{2}^{a}|}{\alpha + |W_{2}^{a}|} = \frac{2}{4}$$

Numerical Example

$$rac{A_2 \wedge W_1^a|}{lpha + |A_2|} = rac{1.1}{2} \ge
ho_a \ (\textit{Passed})$$

Map field vigilance test: Since C_2 is target class, $y^b = [0, 1]$.

$$\frac{|y^{b} \wedge w_{1}^{ab}|}{|y^{b}|} = \frac{|[0,1]| \wedge [1,0]|}{|[0,1]|} = 0 \le \rho_{ab}$$
(*Faild*)
Match tracking: Assume δ =0.0001, thus ρ_{a} is raised to:

$$\rho_{a} = \frac{|A_{2} \wedge W_{1}^{a}|}{|A_{2}|} + \delta = 0.5501$$

Note that after increasing ρ_a , w_1^a fails:

$$\frac{|A_2 \wedge W_1^a|}{|A_2|^2} = \frac{1.1}{2} \leq \rho_a \quad (failed) \quad \text{areal}$$

Numerical Example

In F_2^a , node J=1 is inhibited, it is de-activated and input A_2 is re-propagated to F_2^a , and node J=2 is selected as winner node.

$$\frac{|A_2 \wedge W_2^a|}{\alpha + |A_2|} = \frac{|[0.8, 0.4, 0.2, 0.6]| \wedge [1, 1, 1, 1]|}{0 + |2|} = 1 \ge \rho_a = 0.5501(\textit{Pass})$$

Map field vigilance test: F_2^b output vector is still $y^b = [0, 1]$.

$$\frac{|y^b \wedge w_2^{ab}|}{|y^b|} = \frac{|[0,1]| \wedge [1,1]|}{|[0,1]|} = 1 \ge \rho_{ab} \text{ (passed)}$$

Learning:

$$W_2^{a(new)} = \beta_a(A \land W_2^{a(old)}) + (1 - \beta_a)W_2^{a(old)} = [0.8, 0.4, 0.2, 0.6]$$

$$W_2^{ab} = [0, 1]$$
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Numerical Example

Input A_3 : Propagate A_3 to f_2^a . Compute choice value:

$$T_{1} = \frac{|A_{3} \wedge W_{1}^{a}|}{\alpha + |W_{1}^{a}|} = \frac{1}{2}$$
$$T_{2} = \frac{|A_{3} \wedge W_{2}^{a}|}{\alpha + |W_{2}^{a}|} = \frac{1.5}{2} \quad (Winner)$$

Vigilance test:

$$rac{|A_3 \wedge W_2^a|}{lpha + |A_2|} = rac{1.5}{2} \ge
ho_a = 0$$
 (Passed)

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Numerical Example

Map field vigilance test: Since C_2 is target class, $y^b = [0, 1]$.

$$\frac{|y^b \wedge w_2^{ab}|}{|y^b|} = \frac{|[0,1]| \wedge [0,1]|}{|[0,1]|} = 1 \ge \rho_{ab} \ (\textit{Passed})$$

Learning:

$$egin{aligned} & W_2^{a(\textit{new})} = eta_a(A_3 \wedge W_2^{a(\textit{old})}) + (1 - eta_a)W_2^{a(\textit{old})} \ &= [0.6, 0.7, 0.4, 0.3] \wedge [0.8, 0.4, 02, 0.6] = [0.6, 0.4, 0.2, 0.3] \ & W_2^{ab} \ ext{unchanged.} \end{aligned}$$

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Numerical Example

Therefore, two prototype nodes are created to learn A_1 , A_2 and A_3 , as follows: W_1^a =[0.1, 0.2, 0.9, 0.8], W_1^{ab} =[1,0] belongs to C_1 W_2^a = [0.6, 0.4, 0.2, 0.3], W_1^{ab} =[0,1] belongs to C_2

Test trained Fuzzy ARTMAP:

Assume a_4 =[0.2, 0.3] belongs to C_1 . Therefore, A_4 =[0.2, 0.3, 0.8, 0.7]

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Numerical Example

Compute choice value:

$$T_{1} = \frac{|A_{4} \wedge W_{1}^{a}|}{\alpha + |W_{1}^{a}|} = \frac{1.8}{2} \quad (Winner)$$
$$T_{2} = \frac{|A_{4} \wedge W_{2}^{a}|}{\alpha + |W_{2}^{a}|} = \frac{1}{1.5}$$

Vigilance test:

$$rac{|A_4 \wedge W_1^a|}{lpha + |A_4|} = rac{1.8}{2} \ge
ho_a = 0 \;\;(\textit{Passed})$$

 W_1^a is winner, which is belong to C_1 . Therefore, the predicted class for A_4 is C_1 which is correct with its actual class.

Fuzzy ARTMAP



Figure 2: The number of created prototype nodes with different ρ_a setting.

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Fuzzy ARTMAP



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 The number of created prototype nodes increases with increasing ρ_a from 0.1 to 1.

 The accuracy of FAM increases with increasing ρ_a from 0.1 to 1.

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